### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Nancy E. Barton, et al.

Art Unit: 3629

Serial No.: 09/848,051

Examiner: Ruhl, Dennis William

Filed: May 3, 2001

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For: METHODS AND SYSTEMS

FOR COMPLIANCE PROGRAM

**ASSESSMENT** 

Mail Stop: Amendment Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

#### TRANSMITTAL

Transmitted herewith is:
 Transmittal (3 pages)
 Amendment in response to Office Action dated January 10, 2008 (39 pages)

#### **STATUS**

2. Applicant claims small entity status. is other than a small entity.

# **EXTENSION OF TERM**

3.	The proceedings herein are for a patent application and the provisions of 37 C.F.R. 1.136 apply.  (complete (a) or (b), as applicable)  (a) Applicant petitions for an extension of time under 37 C.F.R. 1.136  (Fees: 37 C.F.R. 1.17(a)-(d) for the total number of months checked below:)											
		within:		entity Fee			nall entity Fee (if applicable)					
		∟J fir	rst month	\$	120.00	\$	60.00					
		$\boxtimes$ se	cond month	\$	460.00	\$	230.00					
		□ th	ird month	\$	1,050.00	\$	525.00					
		$\Box$ for	urth month	\$	1,640.00	\$	820.00					
		☐ fit	fth month	\$	2,230.00	\$1	,115.00					
					Fee:		\$460.00					
If an additional extension of time is required, please consider this a petition therefor.												
	(Check and complete the next item, if applicable)											
An extension of months has already been secured. The fee paid therefor \$ is deducted from the total fee due for the total months of extension now requested.												
	Extension fee due with this request \$460.00											
	OR  (b) Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.											

## FEE FOR CLAIMS

4. Т	The fee	for cla	ims (37 (	C.F.R. 1.16(b	)-(d)) has l	been calculated as s	hown	below:				
	(Col. 1)			(Col. 2)	(Col. 3)	SMALL ENTITY		OTHER THAN SMALL ENTITY				
	REMA AF	AIMS AINING TER DMENT	MINUS	HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	ADDITIONAL. RATE FEE  x \$25.00 = \$	OR	ADDITIONAL RATE FEE x \$50.00 = \$				
TOTAL INDEP.			MINUS		=	x \$105.00 = \$		x \$210.00 = \$				
	FIRS	Γ PRESEN	ITATION OF MULTIPLE DEP. CLAIM			+\$185.00 = \$		+ \$370.00 = \$				
						TOTAL ADDITIONAL FEE \$	OR	TOTAL ADDITIONAL FEE \$				
	(a)	$\boxtimes$	No add	itional fee fo	r Claims is	required						
	(b)		Total a	dditional fee	OR for claims	required \$						
5.	FEE PAYMENT  Attached is a check in the sum of \$											
	$\boxtimes$	Charge Deposit Account No. 01-2384 the sum of \$460.00. A duplicate of this transmittal is attached.										
6.	$\boxtimes$	FEE DEFICIENCY  If any additional extension and/or fee is required, charge Deposit Account No. 01-2384.										
	$\boxtimes$	AND/OR  If any additional fee for claims is required, charge Deposit Account No. 01-2384.										
7.		Daniel M. Fitzgerald Reg. No. 38,880 ARMSTRONG TEASDALE LLP One Metropolitan Square, Suite 2600 St. Louis, MO 63102 314-621-5070										